



## BOX Valid Clearing Firms (VCF) for Intermarket Linkage (IML) Plan

As BOX intends to be a full Participating Exchange of the Intermarket Linkage (IML) Plan, we invite BOX Participant firms wishing to act as a Valid Clearing Firm (VCF) for market makers at away exchanges (the other five Participating Exchanges) to express their interest by completing the declaration below. To be a BOX VCF, your firm must be:

- BOX Participant and
- OCC Clearing Member

Market makers at away exchanges sending P, PA and Satisfaction orders to BOX via the OCC-operated Options Linkage Authority gateway must indicate on each of their orders which BOX Participant is their BOX VCF.

A contract may be required at a later date. For the moment, please let us know (by returning this completed form to BOX Market Operations Center fax: 1 781 994 1454) whether your firm wishes to provide this service and accepts to be identified as such by BOX in response to any queries BOX may receive from away market maker firms or the OCC.

\_\_\_\_\_  
I, the undersigned \_\_\_\_\_

On behalf of the BOX Participant firm \_\_\_\_\_

OCC Number and Account: \_\_\_\_\_

Confirm our interest in acting as a Valid Clearing Firm (VCF) for the purposes of executing and clearing P, PA and Satisfaction orders which BOX may receive from away market makers as part of the Intermarket Linkage Plan (IML).

A subsequent agreement may be concluded providing the specifics of this service. In the interim, we explicitly authorize BOX to include our firms' name in the following:

- On the BOX website list of BOX VCFs
- In response to specific requests from away market makers, other exchanges or the OCC

Signed at \_\_\_\_\_ on (date) \_\_\_\_\_

Signature: \_\_\_\_\_